

Biocon Limited



Shareholding Pattern for the Quarter Ended on March 31, 2020

General Information about the Company

Scrip code	532523
NSE Symbol	BIOCON
MSEI Symbol	NOT LISTED
ISIN	INE376G01013
Name of the company	Biocon Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	March 31, 2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

S. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the Listed Entity has significant beneficial owner?	Yes

Table I - Summary Statement holding of specified securities

(I) Category	(II) Shareholding Pattern for the Quarter Ended on September 30, 2019	(III) Nos. Of shareholders	(IV) No. of fully paid up equity shares held	(V) No. Of Partly paid-up equity shares held	(VI) No. Of shares underlying Depository Receipts	(VII) = (IV)+(V)+(VI) Total nos. shares held	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX) Number of Voting Rights held in each class of securities				(X) No. Of Shares Underlying Outstanding convertible securities	(XI) No. of Shares Underlying Outstanding Warrants (Xi)	(XII) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	(XIII) Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(XI) As a % of (A+B+C2)	(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		(XIV) Number of equity shares held in dematerialized form
								(IX) No of Voting (XIV) Rights			(XIV) Total as a % of (A+B+C)					(XII) Number of Locked in shares		(XIII) Number of Shares pledged or otherwise encumbered		
								Class eg: X	Class eg: Y	Total						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	6	72,80,15,676	-	-	72,80,15,676	60.67	72,80,15,676	-	72,80,15,676	60.67	-	-	-	-	-	-	72,80,15,676		
(B)	Public	2,06,989	45,71,72,452	-	-	45,71,72,452	38.10	45,71,72,452	-	45,71,72,452	38.10	-	-	-	-	-	-	45,44,27,521		
(C)	Non- Promoter- Non- Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employee Trusts	1	1,48,11,872	-	-	1,48,11,872	1.23	1,48,11,872	-	1,48,11,872	1.23	-	-	-	-	-	-	1,48,11,872		
	TOTAL	2,06,996	1,20,00,00,000	-	-	1,20,00,00,000	100.0	1,20,00,00,000	-	1,20,00,00,000	100.00	-	-	-	-	-	-	1,19,72,55,069		

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)
								No. of Voting (XIV) Rights			Total % (A+B+C)			No.(a)	As a % of Total Shares held (b)	No.(a)	As a % of Total Shares held (b)	
								Class X	Class Y	Total								
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
1	INDIAN																	
(a)	Individual/HUF	2	47,70,25,596	-	-	47,70,25,596	39.75	47,70,25,596	-	47,70,25,596	39.75	-	39.75	-	-	-	-	47,70,25,596
	Kiran Mazumdar Shaw	1	47,57,25,384	-	-	47,57,25,384	39.64	47,57,25,384	-	47,57,25,384	39.64	-	39.64	-	-	-	-	47,57,25,384
	Yamini R Mazumdar	1	13,00,212	-	-	13,00,212	0.11	13,00,212	-	13,00,212	0.11	-	0.11	-	-	-	-	13,00,212
(d)	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	2	47,70,25,596	-	-	47,70,25,596	39.75	47,70,25,596	-	47,70,25,596	39.75	-	39.75	-	-	-	-	47,70,25,596
2	FOREIGN																	
	J M M Shaw	1	84,45,348	-	-	84,45,348	0.70	84,45,348	-	84,45,348	0.70	-	0.70	-	-	-	-	84,45,348
	Ravi Mazumdar	1	48,15,084	-	-	48,15,084	0.40	48,15,084	-	48,15,084	0.40	-	0.40	-	-	-	-	48,15,084
	Dev Mazumdar	1	5,18,484	-	-	5,18,484	0.04	5,18,484	-	5,18,484	0.04	-	0.04	-	-	-	-	5,18,484
	Any Other (Specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Glentec International	1	23,72,11,164	-	-	23,72,11,164	19.77	23,72,11,164	-	23,72,11,164	19.77	-	19.77	-	-	-	-	23,72,11,164
	Sub-Total (A)(2)	4	25,09,90,080	-	-	25,09,90,080	20.92	25,09,90,080	-	25,09,90,080	20.92	-	20.92	-	-	-	-	25,09,90,080
	Total Shareholding(A) = (A)(1)+(A)(2)	6	72,80,15,676	-	-	72,80,15,676	60.67	72,80,15,676	-	72,80,15,676	60.67	-	60.67	-	-	-	-	72,80,15,676
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																	
1	Institutions																	
(a)	Mutual Funds	21	6,16,12,765	-	-	6,16,12,765	5.13	6,16,12,765	-	6,16,12,765	5.13	-	5.13	-	-	-	-	6,16,12,765
	RELIANCE CAPITAL TRUSTEE CO LTD- A/C NIPPON INDIA PHARMA FUND	1	1,24,48,202	-	-	1,24,48,202	1.04	1,24,48,202	-	1,24,48,202	1.04	-	1.04	-	-	-	-	1,24,48,202
	ICICI PRUDENTIAL MIDCAP SELECT ETF	1	1,71,06,931	-	-	1,71,06,931	1.43	1,71,06,931	-	1,71,06,931	1.43	-	1.43	-	-	-	-	1,71,06,931
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE TAX RELIEF	1	1,32,46,540	-	-	1,32,46,540	1.10	1,32,46,540	-	1,32,46,540	1.10	-	1.10	-	-	-	-	1,32,46,540
	MIRAE ASSET LARGE CAP FUND	1	1,22,06,912	-	-	1,22,06,912	1.02	1,22,06,912	-	1,22,06,912	1.02	-	1.02	-	-	-	-	1,22,06,912
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Alternate Investment Funds	2	82,149	-	-	82,149	0.01	82,149	-	82,149	0.01	-	0.01	-	-	-	-	82,149
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Foreign Portfolio Investors	191	17,97,12,602	-	-	17,97,12,602	14.98	17,97,12,602	-	17,97,12,602	14.98	-	14.98	-	-	-	-	17,97,12,602
	SOCIETE GENERALE	1	1,21,22,851	-	-	1,21,22,851	1.01	1,21,22,851	-	1,21,22,851	1.01	-	1.01	-	-	-	-	1,21,22,851
	AHAN-I LTD	1	1,99,48,374	-	-	1,99,48,374	1.66	1,99,48,374	-	1,99,48,374	1.66	-	1.66	-	-	-	-	1,99,48,374
(f)	Financial Institutions/ Banks	6	1,94,75,130	-	-	1,94,75,130	1.62	1,94,75,130	-	1,94,75,130	1.62	-	1.62	-	-	-	-	1,94,75,130
	LIFE INSURANCE CORPORATION OF INDIA	1	1,85,42,928	-	-	1,85,42,928	1.55	1,85,42,928	-	1,85,42,928	1.55	-	1.55	-	-	-	-	1,85,42,928
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	QUALIFIED INSTITUTIONAL BUYER	9	67,00,399	-	-	67,00,399	0.56	67,00,399	-	67,00,399	0.56	-	0.56	-	-	-	-	67,00,399
	Sub-Total (B)(1)	229	26,75,83,045	-	-	26,75,83,045	22.30	26,75,83,045	-	26,75,83,045	22.30	-	22.30	-	-	-	-	26,75,83,045
2	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3	Non-institutions																	

(a(i))	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	2,00,228	8,02,23,021	-	-	8,02,23,021	6.69	8,02,23,021	-	8,02,23,021	6.69	-	6.69	-	-	-	-	8,01,94,132
(a(ii))	Individuals -ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	230	4,92,22,365	-	-	4,92,22,365	4.10	4,92,22,365	-	4,92,22,365	4.10	-	4.10	-	-	-	-	4,91,27,385
	ARUN SURESH CHANDAVARKAR	1	1,32,00,000			1,32,00,000	1.10	1,32,00,000		1,32,00,000	1.10		1.10					1,32,00,000
(b)	NBFCs registered with RBI	5	10,745	-	-	10,745	0.00	10,745	-	10,745	0.00	-	0.00	-	-	-	-	10,745
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	6,297	6,01,33,276			6,01,33,276	5.01	6,01,33,276		6,01,33,276	5.01		5.01					5,75,12,214
	BENEFICIAL HOLDINGS UNDER MGT-4	3	55,44,200			55,44,200	0.46	55,44,200		55,44,200	0.46		0.46					55,44,200
	TRUSTS	16	2,16,74,823			2,16,74,823	1.81	2,16,74,823		2,16,74,823	1.81		1.81					2,16,74,823
	NON RESIDENT INDIANS	3,220	49,76,568			49,76,568	0.41	49,76,568		49,76,568	0.41		0.41					39,42,204
	CLEARING MEMBERS	189	34,53,267			34,53,267	0.29	34,53,267		34,53,267	0.29		0.29					34,53,267
	NON RESIDENT INDIAN NON REPATRIABLE	1,836	58,57,119			58,57,119	0.49	58,57,119		58,57,119	0.49		0.49					58,57,025
	BODIES CORPORATES	1,019	1,42,87,803			1,42,87,803	1.19	1,42,87,803		1,42,87,803	1.19		1.19					1,42,87,803
	I E P F	1	84,228			84,228	0.01	84,228		84,228	0.01		0.01					84,228
	FOREIGN NATIONAL INDIVIDUALS	13	42,55,268			42,55,268	0.35	42,55,268		42,55,268	0.35		0.35					26,68,664
	Sub-Total (B)(3)	2,06,760	18,95,89,407	-	-	18,95,89,407	15.80	18,95,89,407	-	18,95,89,407	15.80	-	15.80	-	-	-	-	18,68,44,476
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	2,06,989	45,71,72,452	-	-	45,71,72,452	38.10	45,71,72,452	-	45,71,72,452	38.10	-	38.10	-	-	-	-	45,44,27,521

C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER AND NON-PUBLIC SHAREHOLDER																	
-1	Custodian/DR Holder - Name of DR Holders (If Available)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
-2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(2)	Biocon India Limited Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MR. AMITAVA SAHA	1	1,48,11,872	-	-	1,48,11,872	1.23	1,48,11,872		1,48,11,872	1.23	-	1.23	-	-	-	-	1,48,11,872
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	1,48,11,872	-	-	1,48,11,872	1.23	1,48,11,872		1,48,11,872	1.23	-	1.23	-	-	-	-	1,48,11,872
	Total (A+B+C2)		1,20,00,00,000	-	-	1,20,00,00,000	100.00	1,20,00,00,000		1,20,00,00,000	100.00	-	100.00	-	-	-	-	1,19,72,55,069
	Total (A+B+C)	2,06,996	1,20,00,00,000	-	-	1,20,00,00,000	100.00	1,20,00,00,000		1,20,00,00,000	100.00	-	100.00	-	-	-	-	1,19,72,55,069
	Disclosure of notes on shareholding pattern:																	

Name Mayank Verma
Designation Company Secretary and Compliance Officer
Place Bengaluru
Date April 13, 2020